RESEARCH Open Access

# Salivary testing of COVID-19: evaluation of serological testing following positive salivary results



Lisa Caulley<sup>1</sup>, Julie Shaw<sup>2</sup>, Martin Corsten<sup>3</sup>, Nadia Hua<sup>1</sup>, Jonathan B. Angel<sup>4,5</sup>, Guillaume Poliquin<sup>6,7</sup>, Jonathan Whelan<sup>8</sup>, Kym Antonation<sup>6</sup> and Stephanie Johnson-Obaseki<sup>1\*</sup>

### **Abstract**

**Background:** Salivary detection of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has been proposed as an alternative to nasopharyngeal or oropharyngeal swab testing. Our group previously published a study demonstrating that both testing methods identified SARS-CoV-2 using polymerase chain reaction (PCR)-based detection methodology. We therefore conducted a follow-up study using antibody testing to evaluate the accuracy of saliva versus swabs for COVID-19 detection and the durability of antibody response.

**Methods:** Venous blood samples were collected from consenting participants and the presence of serum antibodies for SARS-CoV-2 was evaluated on a large, automated immunoassay platform by the Roche anti-SARS-CoV-2 qualitative assay (Roche Diagnostics, Laval Quebec). Individuals with a serum antibody cut-off index (COI) ≥ 1.0 were considered positive.

**Results:** In asymptomatic and mildly symptomatic patients with a previously positive standard swab and/or saliva SARS-CoV-2 PCR-test, 42 demonstrated antibodies with 13 patients positive by swab alone, and 8 patients positive by saliva alone.

**Conclusions:** Despite their status as 'current standard' for COVID-19 testing, these findings highlight limitations of PCR-based tests.

Keywords: Coronavirus, COVID-19, SARS-CoV-2, Antibodies, Saliva

### **Background**

Salivary detection of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has been proposed as an alternative to nasopharyngeal or oropharyngeal swab testing. Our group recently published a study of 1939 paired swab and saliva samples in an ambulatory testing center, which demonstrated that both testing methods identified SARS-CoV-2 using polymerase

chain reaction (PCR)-based detection methodology [1]. However, there was discordance between saliva and swab testing in approximately 30% of samples. Our findings aligned with recent studies that have likewise supported the utility of saliva samples for detection of COVID-19, with the same caveats regarding discordance [2–8]. We conducted a follow-up study using antibody testing to evaluate the accuracy of saliva versus swabs for COVID-19 detection and the durability of antibody response.

<sup>&</sup>lt;sup>1</sup>Department of Otolaryngology – Head and Neck Surgery, University of Ottawa, 501 Smyth Rd, Ottawa K1H8L1, Canada Full list of author information is available at the end of the article



© The Author(s). 2021 **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/. The Creative Commons Public Domain Dedication waiver (http://creativecommons.org/publicdomain/zero/1.0/) applies to the data made available in this article, unless otherwise stated in a credit line to the data.

<sup>\*</sup> Correspondence: stjohnson@toh.ca

### **Objective**

To evaluate humoral response in participants that tested positive for SARS-CoV-2 on saliva and/or swab testing.

### **Methods**

Following research ethics board approval, asymptomatic and mildly symptomatic individuals who tested positive for SARS-CoV-2 on saliva, and/or swab testing at a COVID-19 testing center in Ottawa, Canada were invited to participate in this follow-up study. Swab and/or saliva test PCR analysis needed to be positive for the SARS-CoV-2 envelope (E) gene, at minimum, for participants to be included in this follow-up study. Saliva samples with an E assay cycle threshold (CT) value greater than 37, were repeated and followed by confirmation with an assay to detect RNA-dependent RNA polymerase (RdRp) gene. Methodological details of the initial testing are published elsewhere [1]. Participants were contacted by telephone to provide verbal consent for study participation. Venous blood samples were collected at The Ottawa Hospital and analyzed at the Eastern Ontario Regional Laboratory Association. Presence of antibodies for SARS-CoV-2 was evaluated on a large automated immunoassay platform by the Roche anti-SARS-CoV-2 qualitative assay (Roche Diagnostics, Laval Quebec). Individuals with a serum antibody cut-off index (COI)  $\geq$  1.0 were considered positive [9]. It should be noted that given the qualitative nature of the assay, the magnitude of the COI value above the cut-off does not reflect the amount of antibody present in the sample. The target protein used in the assay was the nucleocapsid of the SARS-COV-2 virus, which by detecting antibodies against the nucleocapsid is not sero-type specific. The assay used 12 uL of serum for analysis and did not require protein extraction. Positive and negative controls were analyzed with each batch of specimens. The control samples were sourced from known COVID positive patients (positive control) and negative (negative control, i.e., COVID-naïve patients, collected prior to December 2019).

### Results

Of the 70 patients that initially tested positive, 46 consented to participate. 74% of participants were female,

and median age was 42.5 (Range 20–72 years old). The median time from positive PCR to antibody analysis was 144.5 days (IQR: 131.5–153.5 days). Of these 46 patients consenting to the study and thereby having a previously positive swab, saliva or both SARS-CoV-2 test, 42 (91.3%) demonstrated SARS-CoV-2 antibodies (Table 1, Fig. 1). Of these 42 participants with positive serum antibodies, 21 had been positive by both swab and saliva (Median Age 43 (20–67), 71% female), 13 previously tested positive by swab alone (Median Age 44 (33–72), 85% female), and 8 were participants that previously tested positive by saliva alone (Median Age 43 (29–61), 50% female).

## **Discussion**

We compared the presence of serum SARS-CoV-2-specific antibodies in patients that had previously tested positive on saliva and/or swab RT-PCR analysis. We observed an antibody detection rate of 91% in this population of high-risk asymptomatic or mildly symptomatic patients.

Despite their status as the 'current standard' for COVID-19 testing, PCR-based tests have limitations. In our study, we identified antibodies in patients who tested negative by swab or saliva PCR. These findings may highlight false negative PCR-tests, subsequent infection with SARS-CoV-2 or false positive antibody tests. Furthermore, we observed patients with no detectable antibodies despite a positive initial PCR-based test. This only occurred in four patients positive by saliva alone and negative on swab testing; potentially indicating false positive saliva tests, or chance occurrence.

Of the four individuals that were antibody negative, three were positive by E gene with a CT value above 35, and a negative RdRp gene. This may support the use of a second gene target to be certain that a high CT value of one gene accurately represents a positive result. Antibodies were not detected in one participant despite two positive gene targets, which may represent the absence of antibody response with mild COVID-19 disease severity, the loss of antibody response over time or laboratory error. However, given the small sample size, these results must be interpreted with caution. Furthermore, it should be noted that a direct association between PCR positivity

Table 1 SARS-CoV-2 test results of study participants

	SARS-CoV-2 Antibodies Detected	SARS-CoV-2 Antibodies Not Detected
Swab Positive	34	0
Swab Negative	8	4
Saliva Positive	30	4
Saliva Negative	12	0

Swab: Nasopharyngeal or oropharyngeal swab

SARS-CoV-2 severe acute respiratory syndrome coronavirus 2

Caulley et al. BMC Infectious Diseases

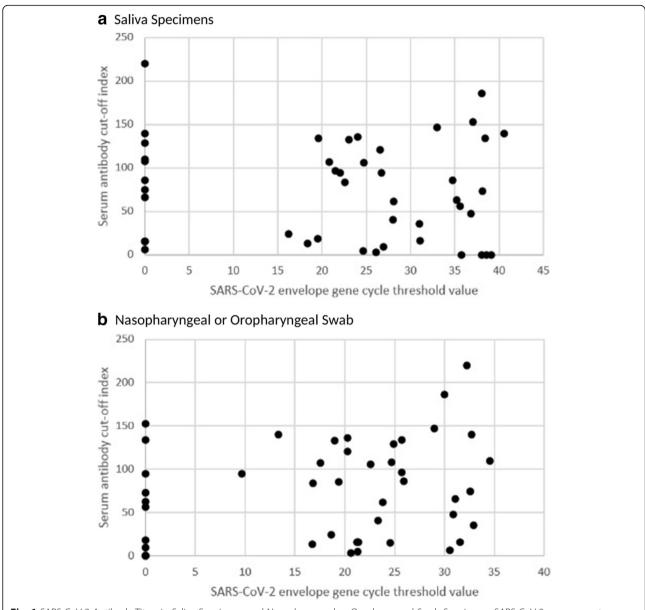


Fig. 1 SARS-CoV-2 Antibody Titers in Saliva Specimens and Nasopharyngeal or Oropharyngeal Swab Specimens. SARS-CoV-2 - severe acute respiratory syndrome coronavirus 2

and seroconversion should be interpreted cautiously, as PCR positivity may also be due to shedding of non-viable virus or non-infectious genome fragments in asymptomatic people [10].

Our findings are relevant given the ongoing uncertainty surrounding the durability of SARS-CoV-2 antibodies [11–13]. We observed sustained seropositivity in over 90% of mildly symptomatic or asymptomatic individuals with median follow-up 5 months after illness presentation. This contrasts a recent report that suggests a rapid decline in antibodies over time, particularly in asymptomatic individuals [13]. Further research is therefore required to continue exploring the magnitude and

duration of IgG responses in patients recovered from SARS-CoV-2 infection. Of note, the SARS-CoV-2 antibodies detected in these participants were directed against the nucleocapsid of the virus. These are not neutralizing antibodies and therefore do not imply immune protection.

Importantly, our study had limitations. First, over 30% of eligible patients declined participation. Second, there remains no true "gold standard" for SARS-CoV-2 detection in evaluation of salivary testing. Third, the Roche serology assay has the potential to produce both false positives and false negatives in detecting previous COVID-19 infection [9].

In this study, humoral immune response to SARS-CoV-2 was observed in over 90% of ambulatory participants that demonstrated positivity on swab, saliva, or both specimens. Participants remained seropositive 5 months post-infection. These findings also highlight the interpretative limitations with salivary results in the setting of single-targeted gene PCR, high CT values, and mild disease severity.

### Acknowledgements

Not Applicable.

### Methods statement

All methods were carried out in accordance with relevant local guidelines and regulations. All methods also adhered to the guidelines and regulations as per our research protocol, approved by the Ottawa Health Science Network Research Ethics Board (OHSN-REB).

### Authors' contributions

LC and SJO were involved with the study design, data collection, interpretation of results, data analysis, and manuscript writing. JS, MC, JA, GP, and KA were involved in data collection, interpretation of results, and data analysis. NH and JW were involved with manuscript writing. All authors read and approved the final manuscript.

### **Funding**

This work was supported by The Ottawa Hospital Academic Medical Organization COVID-19 Innovation Project. The granting agency was not involved in the study execution or reporting of results.

### Availability of data and materials

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

### **Declarations**

### Ethics approval and consent to participate

This study was approved by the Ottawa Health Science Network Research Ethics Board (OHSN-REB). All participants included in this study provided informed consent to participation in the study.

### Consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

### Author details

<sup>1</sup>Department of Otolaryngology – Head and Neck Surgery, University of Ottawa, 501 Smyth Rd, Ottawa K1H8L1, Canada. <sup>2</sup>Department of Pathology and Laboratory Medicine, University of Ottawa, 451 Smyth Road, Ottawa K1H8L1, Canada. <sup>3</sup>Division of Otolaryngology – Head and Neck Surgery, Dalhousie University, 6299 South St, Halifax B3F4R2, Canada. <sup>4</sup>Division of Infectious Diseases, University of Ottawa, 451 Smyth Road, Ottawa K1H8L1, Canada. <sup>5</sup>Chronic Disease Program, Ottawa Hospital Research Institute, 1053 Carling Ave, Ottawa K1H8L1, Canada. <sup>6</sup>National Microbiology Laboratory, Public Health Agency of Canada, 1015 Arlington St, Winnipeg R3E3M4, Canada. <sup>7</sup>Department of Pediatrics & Child Health, University of Manitoba, 66 Chancellors Cir, Winnipeg R3T2N2, Canada. <sup>8</sup>Department of Undergraduate Medical Education, Faculty of Medicine, University of Ottawa, 451 Smyth Road, Ottawa K1H8L1, Canada.

# Received: 3 March 2021 Accepted: 15 April 2021 Published online: 04 May 2021

### References

 Caulley L, Corsten M, Eapen L, Whelan J, Angel J, Antonation K, et al. Salivary detection of COVID-19. Ann Intern Med. 2020;174(1):M20–4738 https://www.acpjournals.org/doi/10.7326/M20-4738#t1-M204738.

- Wong SCY, Tse H, Siu HK, Kwong TS, Chu MY, Yau FYS, Cheung IYY, Tse CWS, Poon KC, Cheung KC, Wu TC, Chan JWM, Cheuk W, Lung DC. Posterior Oropharyngeal Saliva for the Detection of Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2). Clin Infect Dis. 2020;71(11):2939–46. https://doi.org/10.1093/cid/ciaa797.
- Azzi L, Carcano G, Gianfagna F, et al. Saliva is a reliable tool to detect SARS-CoV-2. J Inf Secur. 2020;81(1):e45–50.
- Wyllie AL, Fournier J, Casanovas-Massana A, Campbell M, Tokuyama M, Vijayakumar P, et al. Saliva or nasopharyngeal swab specimens for detection of SARS-CoV-2. N Engl J Med. 2020;383(13):1283–6. https://doi.org/10.1056/ NEJMc2016359.
- Jamal AJ, Mozafarihashjin M, Coomes E, Powis J, Li AX, Paterson A, Anceva-Sami S, Barati S, Crowl G, Faheem A, Farooqi L, Khan S, Prost K, Poutanen S, Taylor M, Yip L, Zhong XZ, McGeer AJ, Mubareka S; Toronto Invasive Bacterial Diseases Network COVID-19 Investigators. Sensitivity of Nasopharyngeal Swabs and Saliva for the Detection of Severe Acute Respiratory Syndrome Coronavirus 2. Clin Infect Dis. 2021;72(6):1064-1066. https://doi.org/10.1093/cid/ciaa848.
- Rao M, Rashid FA, Sabri FSAH, et al. Comparing nasopharyngeal swab and early morning saliva for the identification of SARS-CoV-2. Clin Infect Dis. 2020:ciaa1156. https://doi.org/10.1093/cid/ciaa1156 Online ahead of print.
- Byrne RL, Kay GA, Kontogianni K, Aljayyoussi G, Brown L, Collins AM, et al. Saliva alternative to upper respiratory swabs for SARS-CoV-2 diagnosis. Emerg Infect Dis. 2020;26(11):2770–1. https://doi.org/10.3201/ eid2611.203283.
- Procop GW, Shrestha NK, Vogel S, et al. A direct comparison of enhanced saliva to nasopharyngeal swab for the detection of SARS-CoV-2 in symptomatic patients. J Clin Microbiol. 2020;58(11):e01946–20.
- Elecsys Anti-SARS-CoV-2. Fda.gov. 2020 Available from: https://www.fda.gov/media/137605/download [cited 15 Nov 2020].
- Wajnberg A, Mansour M, Leven E, Bouvier N, Patel G, Firpo-Betancourt A, et al. Humoral response and PCR positivity in patients with COVID-19 in the New York City region, USA: an observational study. Lancet Microbe. 2020; 1(7):e283–9. https://doi.org/10.1016/S2666-5247(20)30120-8.
- Poland GA, Ovsyannikova IG, Kennedy RB. SARS-CoV-2 immunity: review and applications to phase 3 vaccine candidates. Lancet. 2020;396(10262): 1595–606. https://doi.org/10.1016/S0140-6736(20)32137-1.
- Ripperger T, Uhrlaub J, Watanabe M, Wong R, Castaneda Y, Pizzato H, et al. Orthogonal SARS CoV-2 serological assays enable surveillance of low-prevalence communities and reveal durable humoral immunity. Immunity. 2020;53(5):925–32. https://doi.org/10.1016/j.immuni.2020.10.004.
- Ibarrondo FJ, Fulcher JA, Goodman-Meza D, Elliot J, Hofmann C, Hausner MA, et al. Rapid decay of anti-SARS-Cov-2 antibodies in persons with mild COVID-19. New Engl J Med. 2020;383(11):1085–7. https://doi.org/10.1056/ NEJMc2025179.

# **Publisher's Note**

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

### Ready to submit your research? Choose BMC and benefit from:

- fast, convenient online submission
- thorough peer review by experienced researchers in your field
- rapid publication on acceptance
- support for research data, including large and complex data types
- gold Open Access which fosters wider collaboration and increased citations
- maximum visibility for your research: over 100M website views per year

## At BMC, research is always in progress.

Learn more biomedcentral.com/submissions

