## RESEARCH



# Analysis of unsuccessful tests and the effect of prolonged clinical sample preprocessing in the GeneXpert MTB/RIF assay

Jian-Hao Wei<sup>1</sup>, Xue-Qin Qian<sup>2</sup>, Yan-Min Wan<sup>3</sup>, Xiao-Kai Zhao<sup>4</sup>, Chun-Yan Zhang<sup>1</sup>, Wei Guo<sup>1</sup> and Zhao-Qin Zhu<sup>2\*</sup>

## Abstract

**Background** The GeneXpert MTB/RIF (Xpert) assay is a widely used technology for detecting *Mycobacterium tuberculosis* (MTB) in clinical samples. However, the study on the failure of the Xpert assay during routine implementation and its potential solutions is limited.

**Methods** We retrospectively analyzed the records of unsuccessful tests in the Xpert and the GeneXpert MTB/RIF Ultra (Ultra) assays between April 2017 and April 2021 at the Shanghai Public Health Clinical Center. To further investigate the effect of prolonged preprocessing on clinical sputum, an additional 120 sputum samples were collected for Xpert testing after 15 min, 3 h, and 6 h preprocessing. The analysis was performed by SPSS version 19.0 software.

**Results** A total of 11,314 test records were analyzed, of which 268 (2.37%) had unsuccessful test results. Among these, 221 (1.95%) were reported as "Error", 43 (0.38%) as "Invalid", and 4 (0.04%) as "No result". The most common clinical specimen for Xpert tests was sputum, accounting for 114 (2.17%) unsuccessful tests. The failure rate of urine specimens was lower than that of sputum (OR=0.12, 95% CI: 0.02–0.88,  $\chi^2$ =6.22, *p*=0.021). In contrast, the failure rate of stool specimens was approximately twice as high as that of sputum (OR=1.93, 95% CI: 1.09–3.40,  $\chi^2$ =5.35, *p*=0.014). In the prolonged preprocessing experiment, 102 cases (85%) yielded consistent results in Xpert tests. Furthermore, 7 cases (5.83%) detected an increase in MTB load, 8 cases (6.67%) detected a decrease in MTB load, and 3 cases (2.5%) yielded incongruent results in MTB and rifampicin resistance detection.

**Conclusions** The primary cause of unsuccessful tests in the Xpert assay was reported as "Error". Despite varying failure rates depending on the samples, the Xpert assay can be applied to extrapulmonary samples. For paucibacillary specimens, retesting the remaining preprocessed mixture should be carefully considered.

Keywords Mycobacterium tuberculosis, GeneXpert MTB/RIF assay, Unsuccessful test

\*Correspondence:

Zhao-Qin Zhu

zhaoqinzhu@163.com

<sup>1</sup>Department of Laboratory Medicine, Shanghai Geriatric Medical Center, Zhongshan Hospital Minhang Meilong, Fudan University, Shanghai, China <sup>2</sup>Department of Laboratory Medicine, Shanghai Public Health Clinical

Center, Shanghai, China

<sup>3</sup>Department of Infectious Disease, Huashan Hospital, Shanghai, China<sup>4</sup>Zhejiang Yunying Medical Technology Co., Ltd., Jiaxing, Zhejiang, China



© The Author(s) 2024. **Open Access** This article is licensed under a Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International License, which permits any non-commercial use, sharing, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if you modified the licensed material. You do not have permission under this licence to share adapted material derived from this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence are not permitted use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http:// creativecommons.org/licenses/by-nc-nd/4.0/.

## Introduction

The GeneXpert assay (Cepheid Inc, Sunnyvale, CA, USA) is capable of simultaneously detecting Mycobacterium tuberculosis (MTB) and rifampicin (RIF) resistance, which was endorsed by the World Health Organization (WHO) since 2010 [1]. It has been demonstrated that the GeneXpert MTB/RIF (Xpert) and its updated version, GeneXpert MTB/RIF Ultra (Ultra) can detect MTB in common extrapulmonary samples [2], such as urine, cerebrospinal fluid (CSF) [3], and stool [4]. As a designated hospital for infectious diseases, the Xpert assay has been performed at the Shanghai Public Health Clinical Center since April 2017. The failure of the Xpert tests, which occurs infrequently, has become a point of contention in our ongoing debate. However, study on unsuccessful Xpert tests in routine practice is lacking. Additionally, the Xpert assay is a rapid, automatic and cartridge-based nucleic acid amplification test that requires a limited number of manual steps to be performed. In such unsuccessful cases, technicians are usually faced with the decision of either retesting the remaining sample and sample reagent (SR) mixture or collecting a new sample for retesting, perhaps more than two hours later (the cycle time of the Xpert assay is approximately two hours). Limited evidence is available to guide this decision, and the causes and potential solutions for unsuccessful Xpert tests in clinical settings remain unclear. To address this issue, we conducted a retrospective analysis of the unsuccessful tests and an experiment on Xpert to investigate the effect of preprocessing clinical sputum after 15 min, 3 h, and 6 h. This may help resolve samples that have yielded unsuccessful results on initial Xpert testing.

## Methods

### Analysis of the unsuccessful tests

The analysis of the unsuccessful tests in detecting MTB by the Xpert and Ultra assays is a retrospective study. Test data were collected at the Shanghai Public Health Clinical Center from April 2017 to April 2021. Records of unsuccessful tests from common clinical samples, such as sputum, urine, CSF, and stool, were collected and analyzed. Unsuccessful testing of the Xpert assay include "Error," "Invalid" or "No result" as reported by the instrument. The details and codes of the unsuccessful Xpert tests were recorded as part of the instrument description.

All processes and operations involving clinical samples were performed according to Cepheid's instructions. Raw sputum was used for the experiment without decontamination with N-acetyl cysteine-NaOH and concentration. The urine and other body fluids were concentrated by centrifugation at  $3000 \times g$  for 15 min and leaving at least 1 mL for testing. A ratio of at least 1:1 of sample reagent buffer containing NaOH and isopropanol was added to the clinical samples. The ratio of SR to samples depends on the type of sample: 1:1 for thin specimens, such as CSF and saliva sputum, 2:1 for other types of samples. To fully liquefy the sample, the mixture was mixed and incubated at room temperature for 15 min. After complete liquefaction, at least 2.0 mL of the mixture was transferred into each Xpert cartridge and loaded onto the Xpert instrument. Stool samples from children (aged<15 years) were processed as previously described [4].

#### Prolonged preprocessing test

In order to investigate the effect of prolonged preprocessing in clinical sputum cases, we further collected and tested clinical sputum samples from the recruited participants. The sputum samples underwent preprocessing for different durations (15 min, 3 h, and 6 h) at room temperature before being tested using the Xpert assay. In the experimental group, we collected positive clinical sputum samples based on three criteria: (1) the original specimen should be sufficient to support three tests in Xpert, (2) MTB detection should be positive in the first Xpert test, (3) at least 50% of positive samples should be tested as "Low" or "Very low" (VL) to maximize the chance of detecting a minimal drop in preprocess. The negative control group should meet the following criteria: (1) the original specimen should be sufficient to support three tests in Xpert, (2) the first test should yield a negative result for MTB detection in the Xpert assay, (3) sputum samples should be collected from patients with pulmonary tuberculosis or those with a positive history of Xpert testing. All the enrolled samples were tested using Xpert with the lot number 1,000,155,396.

## **Related literature screening**

To gain a better understanding of the unsuccessful tests of Xpert, we conducted a systematic review of the literature available on PubMed (https://pubmed.ncbi.nlm.nih. gov/). We retrieved relevant literature published up to 1 May 2021 using the search terms "Xpert" and "tuberculosis" along with the keywords "unsuccessful" or "failure". Studies that did not provide a comprehensive description of Xpert failure were excluded from the analysis.

#### Statistical analysis

The study used the Mann-Whitney U test to assess the significance of continuous variables and the Pearson chi-square test for categorical variables. Statistical significance was set at p<0.05. Logistic regression analysis was employed to evaluate the risk factors associated with unsuccessful Xpert tests. All calculations were performed using SPSS version 19.0 software (IBM, Armonk, NY, USA). Please contact the authors for access to the study data.

**Table 1**Unsuccessful tests of Xpert and Ultra in our laboratoryfrom Apr 2017 to Apr 2021

|                    | Xpert MTB/RIF | Xpert MTB/RIF Ultra | <i>p</i> -value |
|--------------------|---------------|---------------------|-----------------|
| Total tests        | 10 912        | 402                 | p<0.001         |
| Unsuccessful tests | 247           | 21                  |                 |
| Error              | 209           | 12                  | -               |
| Invalid            | 34            | 9                   | -               |
| No result          | 4             | 0                   | -               |

## Results

## "Error" was the primary cause of unsuccessful Xpert tests

Over the last four years, a total of 11,314 clinical tests were performed, with 10,912 conducted by Xpert and 402 by Ultra. Among these tests, 268 (2.37%) yielded unsuccessful results. Specifically, there were 247 (2.26%) failures in Xpert and 21 (5.22%) failures in Ultra (Table 1). The version of all Xpert cartridges counted in our lab was G4.

The instrument most frequently reported "Error" as the reason for the unsuccessful tests, accounting for 221 (82.36%) of the cases and followed by "Invalid" at 43 (0.38%) and "No result" at 4 (0.04%). Notably, all four "No result" tests occurred in sputum samples. The failure rate of Ultra was statistically significantly higher than that of Xpert (p<0.001).

## The failure rate of Xpert varies depending on the samples being tested

We analyzed the performance of the Xpert tests by sample types, as shown in Table 2. Sputum was the most common clinical specimen in Xpert tests, accounting for 5,247 cases with 114 (2.17%) unsuccessful tests. Another respiratory sample was bronchoalveolar lavage fluid, which accounted for 935 cases with 19 (2.03%) unsuccessful tests. The failure rates of the Xpert assay in extrapulmonary samples were as follows: 2.27% (22/968) for

CSF, 2.74% (25/914) for serous membrane fluid, 1.94% (16/826) for puncture fluid, 2.08% (17/819) for secreta, 3.14% (12/382) for gastric juice, 0.27% (1/369) for urine and 4.69% (15/320) for stool. Stool had a higher failure rate in both the Xpert (4.69%) and Ultra (4.28%) tests compared to other samples such as sputum (2.17%) and gastric juice (3.14%). As our previous description, 81.34% (327/402) of Ultra were used to detect the MTB in stool samples from children. There was no significant difference (p=0.803) in the failure rates between Xpert (4.69%) and Ultra (4.28%) for the clinical stool samples. When using sputum as the reference value in the logistic regression model, the odds ratio for having an unsuccessful result in extrapulmonary specimens was 0.12 (95% CI: 0.02–0.88,  $\chi^2$ =6.22, *p*=0.021) for urine and 2.21 (95% CI: 1.28–3.84,  $\chi^2$ =8.43, *p*=0.004) for stool in Xpert. Additionally, the odds ratio was 1.93 (95% CI: 1.09-3.40,  $\chi^2$ =5.35, p=0.014) for stool in Ultra. Urine specimens have a lower risk of failure than sputum samples in Xpert, on the contrary, stool samples have approximately twice the failure rate of sputum samples, whether in Xpert or Ultra. Based on the failure rates, there is no significant difference between respiratory and extrapulmonary samples in the Xpert assay.

## The effect of prolonged preprocessing

We randomly collected 120 clinical sputum samples (including 100 positive and 20 negative samples) according to the criteria mentioned above. This experiment tested the samples that were processed at 15 min, 3 h, and 6 h after the SR was mixed in. Among these 100 positive cases, 82 consistently yielded the same result from Xpert at all three durations after preprocessing. However, 18 cases showed inconsistent results after prolonged treatment (3–6 h) compared to the results obtained in 15 min.

|                     | Xpert MTB/RIF    |                        |                                       |            |                     | Xpert MTB/RIF Ultra    |                                       |            |  |  |  |
|---------------------|------------------|------------------------|---------------------------------------|------------|---------------------|------------------------|---------------------------------------|------------|--|--|--|
|                     | Total tests<br>n | Failure tests<br>n (%) | Odds ratio<br>95% Confidence interval | p<br>value | Total<br>tests<br>n | Failure tests<br>n (%) | Odds ratio<br>95% Confidence interval | p<br>value |  |  |  |
| Sputum              | 5247             | 114(2.17)              | 1.00 (0.77–1.30)                      | 1          | 12                  | 2(16.67)               | -                                     | -          |  |  |  |
| CSF <sup>b</sup>    | 968              | 22(2.27)               | 1.05 (0.66–1.66)                      | 0.845      | 22                  | None                   | -                                     | -          |  |  |  |
| BALF <sup>c</sup>   | 935              | 19(2.03)               | 0.93 (0.57–1.53)                      | 0.785      | None                | None                   | -                                     | -          |  |  |  |
| SMF <sup>d</sup>    | 914              | 25(2.74)               | 1.27 (0.82–1.96)                      | 0.291      | None                | None                   | -                                     | -          |  |  |  |
| Puncture fluid      | 826              | 16(1.94)               | 0.89 (0.52–1.51)                      | 0.664      | 3                   | 1(33.33)               | -                                     | -          |  |  |  |
| Secreta             | 819              | 17(2.08)               | 0.95 (0.57–1.60)                      | 0.859      | 2                   | None                   | -                                     | -          |  |  |  |
| Gastric juice       | 382              | 12(3.14)               | 1.46 (0.80–2.67)                      | 0.217      | 36                  | 4(11.11)               | -                                     | -          |  |  |  |
| Urine               | 369              | 1(0.27)                | 0.12 (0.02-0.88)                      | 0.021      | None                | None                   | -                                     | -          |  |  |  |
| Stool               | 320              | 15(4.69)               | 2.21 (1.28–3.84)                      | 0.004      | 327                 | 14(4.28)               | 1.93 (1.09–3.40)                      | 0.014      |  |  |  |
| Others <sup>e</sup> | 312              | 6(1.92)                | 0.88 (0.39-2.02)                      | 0.77       | None                | None                   | -                                     | -          |  |  |  |

a The data of sputum specimens in Xpert was taken as the reference value in the logistic regression model and Pearson Chi-square test; b CSF: Cerebrospinal fluid; c BALF: Bronchoalveolar lavage fluid; d SMF: Serous membrane fluid; e Others: Tissue samples obtained through surgery, tears, semen, etc., or the ambiguously labeled samples

| Table 3 | The tests with | inconsonant | results of X | pert after | being pre | processed in | 15 min, 3 h and 6 h |
|---------|----------------|-------------|--------------|------------|-----------|--------------|---------------------|
|         |                |             |              |            |           |              |                     |

| No.     | Gender | Туре   | Character | 15 min          |                 | 3 h    |               | 6 h    |               |
|---------|--------|--------|-----------|-----------------|-----------------|--------|---------------|--------|---------------|
|         |        |        |           | МТВ             | RIF             | МТВ    | RIF           | МТВ    | RIF           |
| 204,112 | Male   | Sputum | Purulency | Low             | Detected        | Medium | Detected      | Medium | Detected      |
| 210,287 | Female | Sputum | Purulency | VL <sup>a</sup> | ND <sup>b</sup> | Low    | ND            | Low    | ND            |
| 210,414 | Female | Sputum | Purulency | Low             | ND              | Medium | ND            | Medium | ND            |
| 212,140 | Female | Sputum | Induced   | VL              | ND              | VL     | ND            | Low    | ND            |
| 212,202 | Male   | Sputum | Mucous    | Medium          | ND              | Medium | ND            | High   | ND            |
| 212,312 | Female | Sputum | Mucous    | VL              | ND              | VL     | ND            | Low    | ND            |
| 212,321 | Male   | Sputum | Mucous    | VL              | ND              | VL     | ND            | Low    | ND            |
| 203,995 | Female | Sputum | Mucous    | Low             | ND              | Low    | ND            | VL     | ND            |
| 204,320 | Male   | Sputum | Mucous    | Low             | Detected        | VL     | Detected      | VL     | Detected      |
| 210,275 | Male   | Sputum | Bloody    | Medium          | ND              | Low    | ND            | Low    | ND            |
| 212,122 | Female | Sputum | Induced   | Medium          | ND              | Low    | ND            | Low    | ND            |
| 212,200 | Male   | Sputum | Mucous    | Medium          | ND              | Medium | ND            | Low    | ND            |
| 203,986 | Female | Sputum | Mucous    | VL              | ND              | VL     | ND            | ND     | -             |
| 210,468 | Female | Sputum | Purulency | VL              | Detected        | ND     | -             | ND     | -             |
| 210,475 | Male   | Sputum | Mucous    | VL              | ND              | VL     | ND            | ND     | -             |
| 212,108 | Female | Sputum | Purulency | Low             | ND              | Medium | ND            | Low    | ND            |
| 212,314 | Male   | Sputum | Mucous    | VL              | Indeterminate   | VL     | ND            | VL     | ND            |
| 203,992 | Male   | Sputum | Induced   | VL              | ND              | VL     | Indeterminate | VL     | Indeterminate |

a VL: Very low; b ND: Not detected

| Study                             | Countries and regions                          | Total tests | Failure tests | Error                      | Invalid                   | No result                 |
|-----------------------------------|--|-------------|---------------|----------------------------|---------------------------|---------------------------|
|                                   |  | n           | n (%)         | n (%)                      | n (%)                     | n (%)                     |
| N. Raizada 2014 [8]               | India  | 40 035      | 2 878 (7.19)  | 1 790 (4.47)               | 459 (1.15)                | 629 (1.57)                |
| J. Creswell 2014 [16]             | Bangladesh, Cambodia and seven other countries | 47 973      | 5 107 (10.64) | 3 302 (6.67)               | 1 175 (2.44)              | 630 (1.31)                |
| W. Sikhondze 2015 [5]             | Swaziland                                      | 48 829      | 3 503 (7.17)  | 2 173 (4.45)               | 385 (0.78)                | 945 (1.94)                |
| T. Agizew 2017 [17]               | Botswana                                       | 3 630       | 528 (14.55)   | 361 (9.94)                 | 119 (3.28)                | 48 (1.32)                 |
| R. Reddy 2017 [25]                | India  | 3 250       | 186 (5.72)    | 143 (4.40%)                | 23 (0.71)                 | 20 (0.62)                 |
| B. Joshi 2018 [ <mark>26</mark> ] | Nepal  | 23 075      | 1 740 (7.5)   | Not mention                | Not mention               | Not mention               |
| M. Gidado 2018 [7]                | Nigeria  | 52 219      | 5 787 (11.08) | 2 173 (4.16)               | 2 469 (4.73)              | 1 145 (2.19)              |
| A. Kebede 2019 [6]                | Ethiopia                                       | 2 515       | 241 (9.6)     | 225 (8.95)                 | 1 (0.04)                  | 15 (0.6)                  |
| Total                             |  | 221 526     | 19 970 (9.01) | 10 167 <sup>a</sup> (5.12) | 4 631 <sup>a</sup> (2.33) | 3 432 <sup>a</sup> (1.73) |

\* The number of "Error"," Invalid" and "No result" in the total row didn't include data in the research of B. Joshi 2018 [26]

There were 7 cases that detected a higher level of MTB quantity after prolonging treatment (3-6 h) compared to the initial test in a 15-minute liquidation Additionally, 5 cases detected a lower level of MTB load, and 3 cases reported VL of MTB and rifampicin resistance as "Not detected" (ND) in 15-minute liquidation but were later reported as ND for MTB quantity after 3-6 h preprocessing. Furthermore, 2 cases reported VL of MTB quantity in 3 tests but with different results in rifampicin resistance, either ND or "Indeterminate". Lastly, one case had a "Low" MTB load and rifampicin resistance ND in a 15-minute liquidation but was reported as "Medium" for MTB and rifampicin resistance ND in the 3-hour retest, followed by "Low" for MTB and rifampicin resistance ND in the third test after a 6-hour preprocess. None of the negative samples in the reference group reported a positive for MTB in the test. We have listed the 18 cases with inconsistent results after being preprocessed at 15 min, 3 h, and 6 h in Table 3.

#### **Review of related literature**

Eventually, eight studies were included in the analysis (Table 4), accounting for a total of 221,526 tests, 9.01% (19,970/221,526) of them failed to report effective results. Except for the study by Basant Joshi in Nepal (1,740 failures in 23,057 tests), which didn't list details of failure tests [8], the majority of unsuccessful tests were categorized as "Error" (55.77%, 10,167/18,230), followed by "Invalid" (25.40%, 4,631/18,230) and ND (18.83%, 3,432/18,230).

## Discussion

In this study, we present an analysis of unsuccessful tests for MTB detection using the Xpert assay in a clinical laboratory located in Shanghai. Our findings indicate that the Xpert assay had an unsuccessful rate of 2.37% (268/11,314), with the predominant description of unsuccessful tests being "Error," accounting for 82.36% (221/268) of all unsuccessful tests. This is consistent with previous research that has reported "Error" as the main description of failure in tests, ranging from 62.03% in Swaziland [5] to 93.36% in Ethiopia [6], but differs from findings in M. Gidado's study in Nigeria [7]. We have a lower failure rate than the studies that have been retrieved, this may be due to the better infrastructure we have, for example, the stable power supply [7] and temperature control in the laboratory [8].

There is an obvious difference from other studies in our data, almost half of the Xpert tests had to be developed in extrapulmonary specimens in our laboratory. Due to the high incidence of tuberculosis in China, many patients with extrapulmonary tuberculosis (EPTB) need to be diagnosed. According to Jim E. Banta and Yu Pang, the prevalence of EPTB in China is higher than in other countries. In the United States, 24.5% of hospitalized tuberculosis patients had EPTB [9], whereas in Beijing, the proportion is 33.4% of tuberculosis patients [10]. Published studies support the use of the Xpert assay for extrapulmonary samples [2, 11]. Our study also found that the failure rate in extrapulmonary samples, except for stool and urine samples, was similar to that of sputum specimens. The Xpert assay could be used in EPTB diagnosis. Furthermore, urine specimens had a lower failure rate, while stool samples had approximately twice the failure rate of sputum samples in Xpert. Several studies have reported similar results in stool. In a study from Uganda, the invalid Xpert results in stool (2/71, 2.81%) was much higher than in sputum (2/350, 0.6%) [12]. Basti Andriyoko also found that unsuccessful Xpert tests were higher in stool (6/40, 15%) than in respiratory samples (1/30, 3%) [13]. Even though published studies emphasize the higher sensitivity of Ultra compared to Xpert [14, 15], we have not observed a significant difference in the failure rates of Ultra (4.28%) and Xpert (4.69%) tests in children's stool samples (p = 0.803).

Analyzing unsuccessful tests in the Xpert assay is essential for quality and cost control in the clinical laboratory. Information from these tests should be recorded, such as the cartridge version and the kit lot number. It has been reported that earlier versions of Xpert cartridges (G3) had varying error rates in laboratories [16], but Tefera Agizew reported that they have a similar error rate [17]. On the other hand, there is no doubt that when unsuccessful tests occur, the cost and turnaround time of Xpert have to be increased. Labelling reports as "Test Failure" could pose a challenge for patients to accept. According to Cepheid's advice, retesting the remaining sample and SR mixture or collecting a new sample for retesting can be helpful in resolving these unsuccessful tests, as most clinical laboratories were already doing [6, 8]. Neeraj Raizada reported that 86.85% of specimens obtained valid results after a single repeat test [8]. A study by Abebaw Kebede reports that 84.48% of tests will succeed by retesting the remaining treated samples or newly collected samples in Ethiopia [6]. Considering the direct cost of these tests in Shanghai (40 USD per Xpert MTB/ RIF cartridge), the failures in Xpert have significantly and directly increased the operating cost of our laboratory.

Because of the high level of automation of Xpert, retesting the remaining sample and SR mixture means the preprocess had been prolonged. Few studies have confirmed the feasibility of this approach. In our experiment, 85% (102/120) of the samples detected the same report of MTB and rifampicin resistance. Seven samples showed an increasing load of MTB after being preprocessed for a longer duration. Which is consistent with Danica Hleb's study, the tuberculocidal effect of SR increases with the length of exposure [18]. In addition, 8 samples showed a decrease in MTB load after prolonged preprocessing. This included 3 samples that reported VL of MTB for 15 min processed, compared to the report of ND after 3-6 h of exposure in SR. This phenomenon is inconsistent with the perspective of Padmapriya P. Banada and their team. They found that SR incubation could be prolonged up to 72 h without further decrease in MTB detection by Xpert [19]. In their experiment, MTB-negative sputum samples were spiked with MTB strain H37Rv at a final concentration of 60 CFU/ml. These artificial sputum samples were then incubated with SR at a 2:1 ratio for 15 min, 5 h, 8 h, 24 h, 3 days and 7 days before being tested using Xpert. They detected a decreased effect on MTB until the SR was mixed in for more than 72 h. Even with only 60 CFU/ml of MTB, which is less than half of the limit of detection of Xpert [18], they were still able to detect 22–38% positive sputum. We infer that the difference may come from the sputum samples being tested. The sputum we chose from clinical patients is compared with their five groups of artificial sputum. Another variable factor is that they prepared varying numbers of sputum each time, and the replicates tested were not the same samples at different durations. The samples in our experiment remained consistent throughout the tests. Besides, we found three cases that reported inconsistent results of rifampicin resistance after prolonging preprocess. This finding was associated with the study by Padmapriya P. Banada [19]. The inconsistencies may be due to the concentrations of MTB being in the grey area of Xpert in the two samples. In addition, we noticed that false rifampicin resistance determination due to chemically induced mutations by NaOH had been reported [19, 20]. We noticed that the inconsonant result was not found in the sputum with high MTB load. The possible explanation may be that the

high background conceals the subtle changes that occur during the prolongation process in our test. In conclusion, samples that have been preprocessed for prolonged periods with SR should not be retested, especially for these paucibacillary cases.

Another influencing factor in Xpert tests is the volume of SR added during preprocessing. In the Xpert assay procedure, the SR is used to liquefy the sample, reduce biohazard, and inactivate PCR inhibitors [21]. In actuality, SR appears to be unnecessary in several studies which the Xpert tests were directly performed on CSF samples [22, 23]. Logically, more SR would reduce the bacterial load of MTB in the sample and could even alter the Xpert test results, especially in these paucibacillary cases. Nila J. Dharan and her team have clearly shown that reducing the amount of SR added can improve the sensitivity of the Xpert test on sputum [24]. In clinical practice, we have observed that the same volume of SR can satisfactorily liquefy CSF or saliva sputum after thorough mixing. However, the influence of the SR volume on the preprocessing of different clinical samples remains unclear and further research is needed.

## Conclusions

The primary cause of unsuccessful tests in the Xpert assay was reported as "Error". Despite varying failure rates depending on the samples, the Xpert assay can be applied to extrapulmonary samples. For paucibacillary specimens, retesting the remaining preprocessed mixture should be carefully considered when the Xpert test is unsuccessful.

#### Abbreviations

| CSF   | Cerebrospinal fluid         |
|-------|-----------------------------|
| EPTB  | Extrapulmonary tuberculosis |
| MTB   | Mycobacterium tuberculosis  |
| ND    | Not detected                |
| RIF   | Rifampicin                  |
| SR    | Sample reagent              |
| Ultra | GeneXpert MTB/RIF Ultra     |
| VL    | Very low                    |
| Xpert | GeneXpert MTB/RIF           |
|       |                             |

. . . .

#### Acknowledgements

The authors would like to express their gratitude to Shanghai Public Health Clinical Centre for sharing the original record of tuberculosis tests by GeneXpert, Cepheid.

#### Author contributions

JHW, YMW and WG contributed to the design of the study, JHW, XQQ and XKZ performed the experiment, ZQZ and CYZ performed data and relevant literature collection and analysis, JHW and XKZ performed the writing of the paper, ZQZ and WG contributed resources and supervised the study, ZQZ contributed to the acquisition of funding and revised this study. All authors revised the manuscript.

#### Funding

There is no founding for the retrospective study to analyze the unsuccessful tests in the GeneXpert and GeneXpert MTB/RIF Ultra assays. The experiment conducted to investigate the effect of prolonged preprocessing in sputum for the GeneXpert assay was funded by grants from the National Natural Science

Foundation of China (32270986) and the Shanghai Public Health System Construction Three-Year Action Plan Key Discipline Construction Project (GWVI-11.1-11). The funders had no role in the study design, data collection and analysis, the decision to publish, or the preparation of the manuscript.

#### Data availability

Data supporting the results of this study are available on request from the authors, Jianhao Wei, Shanghai Geriatric Medical Centre, wjh0625@126.com, Wei Guo, Shanghai Geriatric Medical Centre, gwei0923@163.com.

#### Declarations

#### Ethics approval and consent to participate

The study received ethical and regulatory approval from the Ethics Committee of Shanghai Public Health Clinical Center (Ethical approval number: 2018-5037-02). In the retrospective study analyzing unsuccessful tests in the GeneXpert and GeneXpert MTB/RIF Ultra assays, we used secondary data without recording any personal identifiers on the data collection sheet. The secured data from participant records were only accessible to the listed authors. The patient's informed consent was not required. In the experiment involving prolonged preprocessing tests, written informed consent was obtained from the recruited participants or their parental guardians (for participants under 15 years old) who provided the clinical information and sputum samples. The study was conducted in compliance with the data security requirements of the Shanghai Public Health Clinical Center and regulations (Declaration of Helsinki).

#### **Consent for publication**

Not applicable.

#### Competing interests

The authors declare no competing interests.

Received: 8 January 2024 / Accepted: 29 July 2024 Published online: 01 August 2024

#### References

- Walzl G, McNerney R, du Plessis N, Bates M, McHugh TD, Chegou NN, Zumla A. Tuberculosis: advances and challenges in development of new diagnostics and biomarkers. Lancet Infect Dis. 2018;18(7):e199–210.
- Kohli M, Schiller I, Dendukuri N, Dheda K, Denkinger CM, Schumacher SG, Steingart KR. Xpert((R)) MTB/RIF assay for extrapulmonary tuberculosis and rifampicin resistance. Cochrane Database Syst Rev. 2018;8(8):CD012768.
- Rufai SB, Singh A, Singh J, Kumar P, Sankar MM, Singh S, Team TBR. Diagnostic usefulness of Xpert MTB/RIF assay for detection of tuberculous meningitis using cerebrospinal fluid. J Infect. 2017;75(2):125–31.
- Liu XH, Xia L, Song B, Wang H, Qian XQ, Wei JH, Li T, Xi XH, Song YL, Li SQ, et al. Stool-based Xpert MTB/RIF ultra assay as a tool for detecting pulmonary tuberculosis in children with abnormal chest imaging: a prospective cohort study. J Infect. 2021;82(1):84–9.
- Sikhondze W, Dlamini T, Khumalo D, Maphalala G, Dlamini S, Zikalala T, Albert H, Wambugu J, Tayler-Smith K, Ali E, et al. Countrywide roll-out of Xpert(\*) MTB/RIF in Swaziland: the first three years of implementation. Public Health Action. 2015;5(2):140–6.
- Kebede A, Beyene D, Yenew B, Diriba G, Mehamd Z, Alemu A, Amare M, Ameni G. Monitoring quality indicators for the Xpert MTB/RIF molecular assay in Ethiopia. PLoS ONE. 2019;14(11):e0225205.
- Gidado M, Nwokoye N, Nwadike P, Ajiboye P, Eneogu R, Useni S, Onazi J, Lawanson A, Elom E, Tubi A, et al. Unsuccessful xpert((R)) MTB/RIF results: the Nigerian experience. Public Health Action. 2018;8(1):2–6.
- Raizada N, Sachdeva KS, Sreenivas A, Vadera B, Gupta RS, Parmar M, Kulsange S, Babre A, Thakur R, Gray C, et al. Feasibility of decentralised deployment of Xpert MTB/RIF test at lower level of health system in India. PLoS ONE. 2014;9(2):e89301.
- Banta JE, Ani C, Bvute KM, Lloren JIC, Darnell TA. Pulmonary vs. extra-pulmonary tuberculosis hospitalizations in the US [1998–2014]. J Infect Public Health. 2020;13(1):131–9.

- Pang Y, An J, Shu W, Huo F, Chu N, Gao M, Qin S, Huang H, Chen X, Xu S. Epidemiology of extrapulmonary tuberculosis among inpatients, China, 2008–2017. Emerg Infect Dis. 2019;25(3):457–64.
- Denkinger CM, Schumacher SG, Boehme CC, Dendukuri N, Pai M, Steingart KR. Xpert MTB/RIF assay for the diagnosis of extrapulmonary tuberculosis: a systematic review and meta-analysis. Eur Respir J. 2014;44(2):435–46.
- Orikiriza P, Nansumba M, Nyehangane D, Bastard M, Mugisha IT, Nansera D, Mwanga-Amumpaire J, Boum Y 2nd, Kumbakumba E, Bonnet M. Xpert MTB/RIF diagnosis of childhood tuberculosis from sputum and stool samples in a high TB-HIV-prevalent setting. Eur J Clin Microbiol Infect Dis. 2018;37(8):1465–73.
- Andriyoko B, Janiar H, Kusumadewi R, Klinkenberg E, de Haas P, Tiemersma E. Simple stool processing method for the diagnosis of pulmonary tuberculosis using GeneXpert MTB/RIF. Eur Respir J. 2019;53(3).
- 14. Park M, Kon OM. Use of Xpert MTB/RIF and Xpert ultra in extrapulmonary tuberculosis. Expert Rev Anti Infect Ther. 2021;19(1):65–77.
- Zifodya JS, Kreniske JS, Schiller I, Kohli M, Dendukuri N, Schumacher SG, Ochodo EA, Haraka F, Zwerling AA, Pai M, et al. Xpert ultra versus Xpert MTB/RIF for pulmonary tuberculosis and rifampicin resistance in adults with presumptive pulmonary tuberculosis. Cochrane Database Syst Rev. 2021;2:Cd009593.
- Creswell J, Codlin AJ, Andre E, Micek MA, Bedru A, Carter EJ, Yadav RP, Mosneaga A, Rai B, Banu S, et al. Results from early programmatic implementation of Xpert MTB/RIF testing in nine countries. BMC Infect Dis. 2014;14:2.
- Agizew T, Boyd R, Ndwapi N, Auld A, Basotli J, Nyirenda S, Tedla Z, Mathoma A, Mathebula U, Lesedi C, et al. Peripheral clinic versus centralized laboratorybased Xpert MTB/RIF performance: experience gained from a pragmatic, stepped-wedge trial in Botswana. PLoS ONE. 2017;12(8):e0183237.
- Helb D, Jones M, Story E, Boehme C, Wallace E, Ho K, Kop J, Owens MR, Rodgers R, Banada P, et al. Rapid detection of Mycobacterium tuberculosis and rifampin resistance by use of on-demand, near-patient technology. J Clin Microbiol. 2010;48(1):229–37.
- Banada PP, Sivasubramani SK, Blakemore R, Boehme C, Perkins MD, Fennelly K, Alland D. Containment of bioaerosol infection risk by the Xpert MTB/ RIF assay and its applicability to point-of-care settings. J Clin Microbiol. 2010;48(10):3551–7.

- 20. Wang P, Ren J. Separation of purine and pyrimidine bases by capillary electrophoresis using beta-cyclodextrin as an additive. J Pharm Biomed Anal. 2004;34(2):277–83.
- 21. Boehme CC, Nabeta P, Hillemann D, Nicol MP, Shenai S, Krapp F, Allen J, Tahirli R, Blakemore R, Rustomjee R, et al. Rapid molecular detection of tuberculosis and rifampin resistance. N Engl J Med. 2010;363(11):1005–15.
- Bahr NC, Tugume L, Rajasingham R, Kiggundu R, Williams DA, Morawski B, Alland D, Meya DB, Rhein J, Boulware DR. Improved diagnostic sensitivity for tuberculous meningitis with Xpert(®) MTB/RIF of centrifuged CSF. Int J Tuberc Lung Dis. 2015;19(10):1209–15.
- Cox JA, Lukande RL, Kalungi S, Van Marck E, Lammens M, Van de Vijver K, Kambugu A, Nelson AM, Colebunders R, Manabe YC. Accuracy of Lipoarabinomannan and Xpert MTB/RIF testing in cerebrospinal fluid to diagnose tuberculous meningitis in an autopsy cohort of HIV-infected adults. J Clin Microbiol. 2015;53(8):2667–73.
- Dharan NJ, Amisano D, Mboowa G, Ssengooba W, Blakemore R, Kubiak RW, Armstrong DT, Jones M, Manabe YC, Joloba ML, et al. Improving the sensitivity of the Xpert MTB/RIF assay on sputum pellets by decreasing the amount of added sample reagent: a laboratory and clinical evaluation. J Clin Microbiol. 2015;53(4):1258–63.
- Reddy R, Alvarez-Uria G. Molecular epidemiology of rifampicin resistance in mycobacterium tuberculosis using the GeneXpert MTB/RIF assay from a rural setting in India. J Pathog. 2017;2017:6738095.
- Joshi B, Lestari T, Graham SM, Baral SC, Verma SC, Ghimire G, Bhatta B, Dumre SP, Utarini A. The implementation of Xpert MTB/RIF assay for diagnosis of tuberculosis in Nepal: a mixed-methods analysis. PLoS ONE. 2018;13(8):e0201731.

## Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.