CASE REPORT

Open Access



Early antiretroviral treatment (eART) limits viral diversity over time in a long-term HIV viral suppressed perinatally infected child

Paolo Palma^{1,2*†}, Paola Zangari^{1,2†}, Claudia Alteri³, Hyppolite K. Tchidjou¹, Emma Concetta Manno¹, Giuseppina Liuzzi⁴, Carlo Federico Perno³, Paolo Rossi¹, Ada Bertoli³ and Stefania Bernardi^{1*}

Abstract

Background: HIV genetic diversity implicates major challenges for the control of viral infection by the immune system and for the identification of an effective immunotherapeutic strategy. With the present case report we underline as HIV evolution could be effectively halted by early antiretroviral treatment (eART). Few cases supported this evidence due to the difficulty of performing amplification and sequencing analysis in long-term viral suppressed patients. Here, we reported the case of limited HIV-1 viral evolution over time in a successful early treated child.

Case presentation: A perinatally HIV-1 infected infant was treated within 7 weeks of age with zidovudine, lamivudine, nevirapine and lopinavir/ritonavir. At antiretroviral treatment (ART) initiation HIV-1 viral load (VL) and CD4 percentage were >500,000 copies/ml and 35%, respectively. Plasma genotypic resistance test showed a wild-type virus. The child reached VL undetectability after 33 weeks of combination antiretroviral therapy (cART) since he maintained a stable VL <40copies/ml. After 116 weeks on ART we were able to perform amplification and sequencing assay on the plasma virus. At this time VL was <40 copies/ml and CD4 percentage was 40%. Again the genotypic resistance test revealed a wild-type virus. The phylogenetic analysis performed on the HIV-1 pol sequences of the mother and the child revealed that sequences clustered with C subtype reference strains and formed a monophyletic cluster distinct from the other C sequences included in the analysis (bootstrap value >90%). Any major evolutionary divergence was detected.

Conclusions: eART limits the viral evolution avoiding the emergence of new viral variants. This result may have important implications in host immune control and may sustain the challenge search of new personalized immunotherapeutic approaches to achieve a prolonged viral remission.

Keywords: HIV, Early antiretroviral treatment, Children, Viral evolution, Immunotherapy

Background

HIV-1 infection is characterized by broad genetic diversity and rapid evolution that influence the pathogenesis, transmission and clinical management of the infection [1]. Such high genetic variability represents also a major drawback in the identification of an effective immunotherapeutic strategy. Genetically homogeneous virus population has been found at birth in perinatally HIV infected infants, in contrast to the heterogeneous virus

Full list of author information is available at the end of the article

populations often found in their infected mothers [2]. Similarly, a uniform viral population has been observed in newly infected adults short after transmission [3]. These observations led to the hypothesis that an early intervention with cART could limit viral evolution. Indeed, the homogeneity of viral sequences in HIV infected individuals treated during early infection compared with higher diversity in late treated patients has been recently confirmed in adult populations [4–6]. Although the relationship between the viral evolutionary dynamics and timing of treatment has been explored to some extent in adult less is known about this relationship during paediatric HIV-1 infection [7]. This is mostly due to the difficulty of performing amplification and sequencing analysis with



© The Author(s). 2016 **Open Access** This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The Creative Commons Public Domain Dedication waiver (http://creativecommons.org/publicdomain/zero/1.0/) applies to the data made available in this article, unless otherwise stated.

^{*} Correspondence: paolo.palma@opbg.net; stefania.bernardi@opbg.net *Equal contributors

¹Academic Department of Pediatrics, Unit of Immune and Infectious Diseases, Children's Hospital Bambino Gesù, P.zza Sant'Onofrio, 4-00165 Rome, Italy

limited volume of blood in perinatally HIV infected children on viral suppression since infancy [7–9]. Here, we reported the case of limited HIV-1 viral evolution in an early treated child with stable viral VL <40 copies/ml in which we were able to amplify and analyze HIV-1 pol sequences at different time points.

Case report

An infant was born by elective caesarean section, two hours after membrane rupture at 38 weeks of gestation to an HIV-1 infected woman who started a first line ART with lamivudine, zidovudine and lopinavir/ritonavir 1 week before the delivery. Maternal VL at delivery was 203,686 copies/ml. Intrapartum antiretroviral prophylaxis with intravenous zidovudine was administered and the child started postnatal prophylaxis with zidovudine at 6 h of birth for 6 weeks. Breastfeeding was avoided. Polymerase chain reaction (PCR) for genes GAG, POL, and ENV performed at 6 weeks of life was positive and his HIV-RNA VL was >500,000 copies/mL with CD4 lymphocyte percentage 35%.

The genotypic resistance test from both mother and child didn't show any transmitted drug resistance for PI, NRTI or NNRTI drug classes. Based on these results, at 7 weeks of age cART was initiated. A four-drug regimen of zidovudine, lamivudine, nevirapine and lopinavir/ritonavir was selected according to the results of genotypic resistance test. Plasma VL remained detectable till the 32th week from starting therapy despite an adequate maternal compliance with infant's drugs and on subsequent medical check the baby maintained undetectable HIV-1 RNA and CD4 T count within the range for age. At 48 weeks from starting therapy, ART was simplified by suspending protease inhibitor. Concurrently to the VL undetectability, HIV-1 antibodies were negative in the child at 26, and 31 months of age. After 116 weeks on cART, we were able to perform viral isolation and amplification. At this time VL was stable <40 copies/ml (ABBOTT) and CD4 percentage was 40%. A genotypic resistance test for pol was re-performed and no-drug resistance was found for a second time.

In order to clarify the epidemiological linkage and the evolutionary divergence between mother and child HIV-1 strains, a phylogenetic analysis was carried out on pol sequences performed at different time points. In particular, one pol sequence from plasma HIV-RNA and one pol sequence from PBMCs HIV-1 DNA obtained at the time of partum and 2 years later, respectively, were available for the mother. Two plasma *pol* sequences at the time of birth and 2 years later (corresponding to the 116 week of ART), were available for the child. To define the HIV-1 subtype and the sequence inter-relationships between the mother/ child pair a neighbor joining (NJ) tree [10] was constructed using a first dataset containing all *pol* sequences obtained

from the mother and child, HIV pol reference sequences, and 396 full-length pol sequences (1,200 bp) obtained from routine laboratory testing at the Virology Unit Hospital "Tor Vergata", from January 2012 to December 2014. The reliability of the branching orders was assessed by boot-strap analysis of 1000 replicates. Genetic distances were calculated using MEGA 6.0 based on Kimura-2 parameter (K2P) model [11]. To avoid potential contaminations identical sequences amplified in the same run were excluded. Phylogenetic analysis by NJ method revealed that the HIV-1 *pol* sequences from the mother/child pair clustered together with C subtype reference strains, forming a monophyletic cluster distinct from the other C sequences included in the analysis (bootstrap value >90%) (Additional file 1: Figure S1).

Once the HIV-1 subtype was assigned, the statistical robustness of the monophyletic clade was confirmed also by the ML tree, containing only C reference sequences and 98 C isolates obtained from routine laboratory testing (bootstrap value >85%) (Fig. 1). This was inferred by the PhyML program (http://www.atgc-montpellier.fr/phyml/) using the GTR + I + Γ nucleotide substitution model. The simplest model that adequately fitted the sequence data was selected according to the Akaike Information Criterion (AIC) included in the MEGA package (version 6.0). Robustness of the phylogenetic clades was evaluated by bootstrap analysis (1000 replicates). The tree was rooted using a midpoint rooting. Again, the 4 pol sequences from the mother/child pair form a monophyletic cluster distinct from all the other C sequences included in the analysis (Fig. 1).

The extremely low mean genetic distance in pol region characterizing pol sequences from the mother/child pair compared to the ones from local unrelated non-cluster C controls (pol: 0.0017, standard error [SE]: ± 0.001 vs 0.081, standard error [SE]: ± 0.004) confirmed the high homology among mother and child sequences.

The phylogenetic analysis also revealed that the 2 sequences of the child clustered together and showed a minimal evolutionary divergence among them (mean \pm SE:0.000090 \pm 000087). This minimal evolutionary divergence is sustained by a single nucleotide substitution at position 231 of RT (C to T [F77F]).

Conclusions

HIV-1 populations in the blood of the newly infected individuals are largely homogenous and evolve in a manner consistent with exponential viral replication [3]. Thus, starting antiretroviral treatment during acute infection can limit HIV viral evolution avoiding the emergence of new viral variants. Recent studies in HIV infected adults support this evidence [4–6] but few data are available in the pediatric setting [7]. The present case report highlights the impact of eART in limiting HIV

genetic diversity over time in a perinatally HIV infected child on stable viral suppression (<40 copies/ml). Our result confirming those recently published in adults [4–6], may have important implications for the future defining of a personalized immunotherapeutic approach [12]. To date, eART alone is not sufficient to induce a sustained viral remission and additional immunotherapeutic interventions should be considered [12]. An effective early cART can prevent HIV-1 evolution modifying the natural history of the infection from a rapidly evolving viral infection to a state of clonal persistence with a single or a few variants. This restricted pool of variants can be more easily targeted by autologous cytotoxic T-lymphocytes

(CTL) [13] or therapeutic vaccines induced strategies [12, 14]. Further studies are needed in order to determine whether limited HIV-1 evolution overtime can be associated with a higher likelihood to achieve viral remission [9].

Additional files

Additional file 1: Figure S1. Neighborg phylogenetic tree constructed on the pol gene sequences of 400 isolates and additional 163 HIV-1 subtype references. The bar at the bottom indicating 0.01 nucleotide substitution per site. Bootstrap support >90% were showed along the branches. Isolates of sutypes C are shown in red. The sequences involved in mother to child transmission chain are in bold red. (PPTX 160 kb).



Abbreviations

ART: Antiretroviral treatment; cART: Combination antiretroviral therapy; CTL: Cytotoxic T-lymphocytes; eART: Early antiretroviral treatment; NJ: Neighbor joining; PCR: Polymerase chain reaction; VL: Viral load

Acknowledgments

We would like to acknowledge the patient and his mother who participated in this study.

Funding

This work was supported by research projects funding of Italian Ministry of Health (grant number: 201201X002919).

Availability of data and materials

The datasets supporting the conclusions of this article are included within the article.

Authors' contributions

SB, HT, PP, GL, ECM carried out the clinical follow up. PZ, SB, CA, AB, PP draft the manuscript. CA and AB performed laboratory analysis. PR supervised the clinical follow up. CFP supervised laboratory and phylogenetic analysis. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

Consent for publication

Written informed consent was obtained from the patient's mother for publication of this report. A copy of the written consent is available for the journal.

Ethics approval and consent to participate

Not applicable.

Author details

¹Academic Department of Pediatrics, Unit of Immune and Infectious Diseases, Children's Hospital Bambino Gesù, P.zza Sant'Onofrio, 4-00165 Rome, Italy. ²Research Unit in Congenital and Perinatal Infections, Children's Hospital Bambino Gesù, Rome, Italy. ³Department of Experimental Medicine and Surgery, Tor Vergata University, Rome, Italy. ⁴Clinical Department, National Institute for Infectious Diseases 'L. Spallanzani', Rome, Italy.

Received: 6 May 2016 Accepted: 3 December 2016 Published online: 09 December 2016

References

- Santoro MM, Perno CF. HIV-1 Genetic Variability and Clinical Implications. ISRN Microbiol. 2013;2013;481314.
- Scarlatti G, Leitner T, Halapi E, Wahlberg J, Jansson M, Wigzell H, et al. Analysis of the HIV-1 envelope V3-loop sequences from ten mother-child pairs. Ann N Y Acad Sci. 1993;693:277–80.
- Joseph SB, Swanstrom R, Kashuba AD, Cohen MS. Bottlenecks in HIV-1 transmission: insights from the study of founder viruses. Nat Rev Microbiol. 2015;13(7):414–25.
- Josefsson L, von Stockenstrom S, Faria NR, Sinclair E, Bacchetti P, Killian M, et al. The HIV-1 reservoir in eight patients on long-term suppressive antiretroviral therapy is stable with few genetic changes over time. PNAS. 2013;110(51):4987–96.
- Buzon MJ, Sun H, Li C, Shaw A, Seiss K, Ouyang Z, et al. HIV-1 persistence in CD4+ T cells with stem cell-like properties. Nat Med. 2014;20(2):139–42.
- Kearney MF, Spindler J, Shao W, Yu S, Anderson EM, O'Shea A, et al. Lack of detectable HIV-1 molecular evolution during suppressive antiretroviral therapy. PLoS Pathog. 2014;10(3):e1004010.
- Persaud D, Ray SC, Kajdas J, Ahonkhai A, Siberry GK, Ferguson K, et al. Slow human immunodeficiency virus type 1 evolution in viral reservoirs in infants treated with effective antiretroviral therapy. AIDS Res Hum Retroviruses. 2007;23(3):381–90.
- Luzuriaga K, Mofenson LM. Challenges in the Elimination of Pediatric HIV-1 Infection. N Engl J Med. 2016;374(8):761–70.

- Palma P, Foster C, Rojo P, Zangari P, Yates A, Cotugno N, Klein N. The EPIICAL project: an emerging global collaboration to investigate immunotherapeutic strategies in HIV-infected children. J Virus Erad. 2015;1(3):134–9.
- Saitou N, Nei M. The neighbor-joining method: a new method for reconstructing phylogenetic trees. Mol Biol Evol. 1987;4(4):406–25.
- Kimura M. A simple method for estimating evolutionary rates of base substitutions through comparative studies of nucleotide sequences. J Mol Evol. 1980;16:111–20.
- Klein N, Palma P, Luzuriaga K, Pahwa S, Nastouli E, Gibb DM, et al. Early antiretroviral therapy in children perinatally infected with HIV: a unique opportunity to implement immunotherapeutic approaches to prolong viral remission. Lancet Infect Dis. 2015;15(9):1108–14.
- Garcia-Knight MA, Slyker J, Lohman-Payne B, Pond SL, de Silva TI, Chohan B, et al. Viral Evolution and Cytotoxic T Cell Restricted Selection in Acute Infant HIV-1 Infection. Sci Rep. 2016;6:29536. doi:10.1038/srep29536.
- 14. Goulder PJ, Lewin SR, Leitman EM. Paediatric HIV infection: the potential for cure. Nat Rev Immunol. 2016;16(4):259–71.

Submit your next manuscript to BioMed Central and we will help you at every step:

- We accept pre-submission inquiries
- Our selector tool helps you to find the most relevant journal
- We provide round the clock customer support
- Convenient online submission
- Thorough peer review
- Inclusion in PubMed and all major indexing services
- Maximum visibility for your research

Submit your manuscript at www.biomedcentral.com/submit

